

378

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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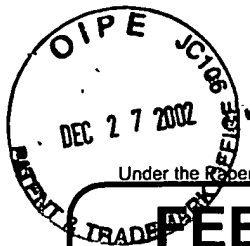
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/329,775	
	Filing Date	06/10/1999	
	First Named Inventor	BONNEAU, Walter	
	Group Art Unit	2635	
	Examiner Name	SHIMIZU, Matsuichiro	
Total Number of Pages in This Submission	24	Attorney Docket Number	2322-0486

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Form PTOL-85 (Issue Fee Transmittal);
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Letter transmitting Formal Drawings;
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	Communication with Payment of Issue Fee Verifying inventors that should be on the issuing patent;
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	Return Postcard
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	BROWN MARTIN HALLER & MCCLAIN LLP Kathleen L. Connell (Reg. No. 45,344)
Signature	
Date	12/23/2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>December 23, 2002</u>			
Typed or printed name	Sachiko Y. Snedden		
Signature		Date	12/23/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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**FEE TRANSMITTAL**  
**for FY 2003**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 1,310**Complete if Known**

Application Number	09/329,775
Filing Date	June 10, 1999
First Named Inventor	BONNEAU, Walter
Examiner Name	SHIMIZU, Matsuichiro
Art Unit	2635
Attorney Docket No.	2322-0486

**METHOD OF PAYMENT** (check all that apply)☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

02-4070

Brown Martin Haller &amp; McClain

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	740	2001	370	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	510	2003	255	Plant filing fee	
1004	740	2004	370	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUBTOTAL (1)** (\$) 0**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

		Extra Claims	Fee from below	Fee Paid
Total Claims		-20** =	X	
Independent Claims		-3** =	X	
Multiple Dependent				

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	400	2252	200	Extension for reply within second month	
1253	920	2253	460	Extension for reply within third month	
1254	1,440	2254	720	Extension for reply within fourth month	
1255	1,960	2255	980	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,280	2453	640	Petition to revive - unintentional	
1501	1,280	2501	640	Utility issue fee (or reissue)	1,280
1502	460	2502	230	Design issue fee	
1503	620	2503	310	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	740	2809	370	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	740	2810	370	For each additional invention to be examined (37 CFR 1.129(b))	
1801	740	2801	370	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) 10 Printed Copies of Patent w/o Color, Reg. Service: \$3 ea. 30

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) 1,310**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	Kathleen L. Connell	Registration No. (Attorney/Agent)	45,344	Telephone	(619) 238-0999
Signature		Date	December 23, 2002		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of	)	
BONNEAU, ET AL.	)	FOR: MULTIPLE PROTOCOL SMART
Serial No.: 09/329,775	)	CARD COMMUNICATION
Filed: JUNE 10, 1999	)	DEVICE
	)	Group
	)	Art Unit: 2635

COMMUNICATION WITH PAYMENT OF ISSUE FEE  
VERIFYING INVENTORS THAT SHOULD BE ON THE ISSUING PATENT

Assistant Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Attention: M. Shimizu, Examiner

Dear Examiner:

A review of the Official Filing Receipt dated November 3, 1999 revealed that an inventor's name has been erroneously included on the receipt. The correct five (5) inventors are **WALTER C. BONNEAU, JR., TOM VILHELMSSEN, CARLOS EDUARDO RIBEIRO, STEFAN ROUSSEAU, and PHIL DIXON**, only, as indicated on the enclosed copy of the signed declaration filed on October 21, 1999. These are the only inventors that should be included on the face of the issuing patent.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, USPTO, P.O. Box 2327, Arlington, VA 22202 on:

December 23, 2002

(Mailing Date)

Sachiko Y. Snedden

(Typed Name)

*Sachiko Y. Snedden*

(Signature)

December 23, 2002

(Date of Signature)

Enclosed for your convenience is a copy of the filing receipt showing the error circled in red. You will note that Tapio Vahamaki is not an inventor and should be deleted from the record as an inventor. His name erroneously was included on an unsigned declaration submitted by applicants' attorney with the filing of the application.

In addition "CARLOS RIBEIRO" should be corrected to read  
**--CARLOS EDUARDO RIBEIRO--** as indicated on page 3 of the signed declaration.

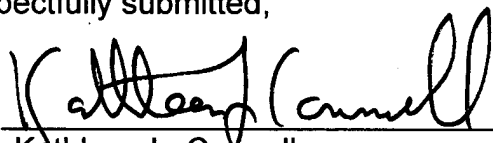
It is believed that no fee is due to correct the inventorship for this application since the errors were on the part of the U.S. Patent Office. However, should a fee be required, the Commissioner is hereby authorized to charge payment of any fees required to Deposit Account No. 02-4070.

Should the Examiner require further information regarding inventorship, the Examiner is invited to telephone the attorney for Applicants at the telephone number listed below.

Respectfully submitted,

Dated: December 23, 2002

By:

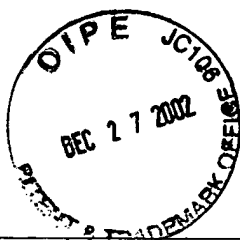


Kathleen L. Connell  
Attorney for Applicant  
Registration No. 45,344

BROWN, MARTIN, HALLER & McCLAIN  
1660 Union Street  
San Diego, California 92101  
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Docket No.: 2322-0486

FILING RECEIPT  
CORRECTED



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/329,775	06/10/99	2876	\$1,856.00	2322-0486	12	39	11

ELEANOR M MUSICK  
BROWN MARTIN HALLER & MCCLAIN LLP  
1660 UNION STREET  
SAN DIEGO CA 92101

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

WALTER BONNEAU, SAN DIEGO, CA; TOM VILHELMSSEN,  
SAN DIEGO, CA; CARLOS RIBEIRO, SAN DIEGO, CA; STEFAN  
ROUSSEAU, SAN DIEGO, CA; TAPIO VAHAMAKI, SAN DIEGO, CA;  
PHIL DIXON, SAN DIEGO, CA.

EDUARDO corrected

DELETE

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 07/01/99  
TITLE  
MULTIPLE PROTOCOL SMART CARD COMMUNICATION DEVICE  
PRELIMINARY CLASS: 235